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SUBSTITUTE PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/696,047
		Filing Date	October 29, 2003
		First Named Inventor	Michael A. DellaVecchia
		Art Unit	3761
		Examiner Name	
Total Number of Pages in This Submission	5	Attorney Docket Number	P1145/20005

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form (In duplicate)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Assignment and Cover Sheet		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd. Frank M. Linguiti, Esq.
Signature	
Date	3/22/04

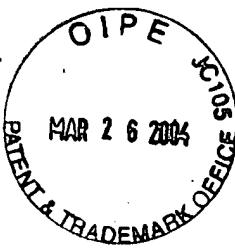
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Frank M. Linguiti, Esq.	
Signature		Date 3/22/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATION

Applicants: Michael A. DellaVecchia, et al.
Serial No: 10/696,047 Group Art Unit:
Filed: October 29, 2003 Examiner:
Att. Docket No.: P1145/20005 Confirmation No.: 5839

For: DETERMINING IRIS BIOMETRIC AND SPATIAL ORIENTATION OF AN
IRIS IN ACCORDANCE WITH SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the references are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR § 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR § 1.114. No certification or fee is required. 37 CFR § 1.97(b).

This application is a U.S. national patent application filed after June 30, 2003 or an international application that has entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, a copy of each cited U.S. patents and/or U.S. patent applications is not attached. 37 CFR 1.491(b).

Respectfully submitted,

March 22, 2004

Please charge or credit our Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission.
--

CAESAR, RIVISE, BERNSTEIN,
COHEN & POKOTILOW, LTD.

By Frank Linguiti
Frank M. Linguiti
Registration No. 32,424
Customer No. 03000
(215) 567-2010
Attorney for Applicants

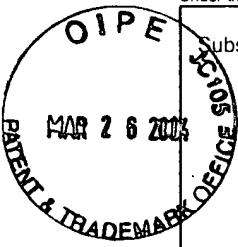
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheet as necessary)

Sheet 1 of 3

Complete if Known	
Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		4,641,349		Flom, et al.	02/03/1987
		5,291,560		Daugman	03/01/1994
		5,359,669		Shanley, et al.	10/25/1994
		5,719,950		Osten, et al.	02/17/1998
		5,777,719		Williams, et al.	07/07/1998
		5,912,731		DeLong, et al.	06/15/1999
		5,949,521		William, et al.	09/07/1999
		6,007,204		Fahrenkrug, et al.	12/28/1999
		6,019,472		Koester, et al.	02/01/2000
		6,086,204		Magnante	07/11/2000
		6,095,651		Williams, et al.	08/01/2000
		6,143,011		Hood, et al.	11/07/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			

OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS

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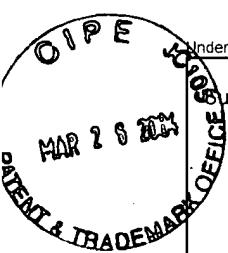
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known	
Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

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Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		6,155,684		Bille, et al.	12/05/2000
		6,289,113 B1		McHugh, et al.	09/11/2001
		6,305,803 B2		Sumiya	10/23/2001
		6,377,699 B1		Musgrave, et al.	04/23/2002
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		6,539,100		Amir, et al.	03/25/2003
		6,542,624		Oda	04/01/2003
		6,546,121		Oda	04/08/2003
		6,549,118		Seal, et al.	04/15/2003
		6,556,699		Rogers, et al.	04/29/2003
		6,594,377		Kim, et al.	07/15/2003
		6,614,919		Suzuki	09/02/2003

FOREIGN PATENT DOCUMENTS

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